**PATENT** 

#2

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket No.: KLEINWÄCHTER-3

|   |        | 22 |
|---|--------|----|
| In re Application of:                         | )      |    |
| JÜRGEN KLEINWÄCHTER                           | )<br>) |    |
| Cont. of: PCT/DE99/03164                      |        |    |
| Int. Filing Date: October 1, 1999             |        |    |
| For: LIGHT ELEMENT WITH A TRANSLUCENT SURFACE | )<br>) |    |

## INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents Washington, D.C. 20231

Express Mail mailing label number: **EL638684969US** 

Date of Deposit: April 5, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

ANTONELLA FUSILLO

[Name of person majling pap

A 1/0.

SIR:

In accordance with 37 C.F.R. 1.56, applicant wishes to call the attention of the Examiner to the following references A) to F) which were cited in the International Search Report issued by the European Patent Office with regard to the corresponding international patent application No. PCT/DE99/03164. Applicant does not admit that any of the cited documents constitutes prior art against the pending application.



|    | Country: | Patent or<br>Appl. No: | Patentee or<br>Applicant: | Issue or Filing Date: |
|----|----------|------------------------|---------------------------|-----------------------|
| A) | Germany  | DE 196 14 787 A        | Protekum U.               | 10-09-97              |
| B) | USA      | US 4 421 097 A         | Meckler                   | 12-20-83              |
| C) | France   | FR 2 552 211 A         | Lemasson                  | 03-22-85              |
| D) | USA      | US 4 326 012 A         | Charlton                  | 04-20-82              |
| E) | USA      | US 5 204 777 A         | Curshod                   | 04-20-93              |
| F) | USA      | US 4 723 826 A         | Whitaker                  | 02-09-88              |

Copies of these references are submitted herewith along with form PTO-1449. The Examiner is requested to initial the attached form PTO-1449 and to return a copy of the initialed document to the undersigned as an indication that the attached references have been considered and made of record.

- [X] This Information Disclosure Statement is filed before the issuance of a first Office Action so that no fee under 37 C.F.R. §1.97 is due.
- [] This Information Disclosure Statement is filed after the issuance of a first office but before issuance of a final action under §1.113, or a notice of allowance under §1.311.
- [] This Information Disclosure Statement is submitted after the mailing of a final action or a notice of allowance, but before payment of the issue fee. Accordingly, pursuant to 37 C.F.R. §1.97(d), the undersigned submits the following certification and petition requesting consideration of this statement: The undersigned hereby certifies:
- [] that each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart



foreign application not more than three months prior to the filing of the information disclosure statement;

- [] that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the statement after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56© more than three months prior to the filing of the information disclosure statement.
- [] The undersigned hereby petitions that the Examiner consider the information cited in this Statement.
- [] Enclosed, please also find a check for the amount of \$180.00 to cover the fee for filing the above Information Disclosure Statement.
- [] Enclosed, please also find a check for the amount of \$130.00 to cover the petition fee set forth in §1.17(i).
- [] The Commissioner is hereby authorized to charge the appropriate fee of 180.00 to cover the fee for filing the above Information Disclosure Statement, and any additional fees which may be required, or credit any overpayment to Deposit Account No. 06-0502.
- [] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 06-0502.

Docket No.: KLEINWÄCHTER-3 Cont. of: PCT/DE99/03164

In order to satisfy the requirement under 37 C.F.R. §1.98(a)(3) for a concise explanation of the relevance of each item of information, applicant herewith submits a copy of the International Search Report together with a translation of the relevant pages thereof.

The above-identified application discloses and claims an invention patentable over this prior art.

Entry of the references above set forth into the file of the above application is believed to be in order and is respectfully requested.

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 06-0502.

Respectfully submitted

By:

Henry M. Feiereisen Agent for Applicant Reg. No. 31,084

Date: April 5, 2001 350 Fifth Avenue Suite 3220 New York, N.Y. 10118 (212) 244-5500 HMF/WS:af